

# Mini-ML

## Expressions

$$M ::= x|true|false|if M \text{ then } M \text{ else } M|\lambda x(M)|M\ M|let x = M \text{ in } M|nil|M :: M|case M \text{ of } nil \Rightarrow M|x :: x \Rightarrow M$$

## Types

$$\tau ::= \alpha|bool|\tau \rightarrow \tau|\tau list$$

$$\sigma ::= \forall A(\tau) \text{ where } A \in \mathcal{P}(TyVar)$$

Identify schemes up to  $\alpha$ -equivalence

$$\text{Say } \sigma \succ \tau \text{ where } \sigma = \forall \alpha_1, \dots, \alpha_n(\tau') \text{ if } \tau = \tau'[\tau_1/\alpha_1, \dots, \tau_n/\alpha_n] \text{ for some } \tau_i$$

Environments  $\Gamma$  are finite functions from variables to type schemes

## Rules

$$\Gamma \vdash x : \tau \text{ if } (x : \sigma) \in \Gamma \text{ and } \sigma \succ \tau$$

$$\Gamma \vdash B : bool \text{ if } B \in \{true, false\}$$

$$\frac{\Gamma \vdash M_1 : bool \quad \Gamma \vdash M_2 : \tau \quad \Gamma \vdash M_3 : \tau}{\Gamma \vdash \text{if } M_1 \text{ then } M_2 \text{ else } M_3 : \tau}$$

$$\Gamma \vdash nil : \tau list$$

$$\frac{\Gamma \vdash M_1 : \tau \quad \Gamma \vdash M_2 : \tau \text{ list}}{\Gamma \vdash M_1 :: M_2 : \tau \text{ list}}$$

$$\frac{\Gamma \vdash M_1 : \tau_1 \text{ list} \quad \Gamma \vdash M_2 : \tau_2 \quad \Gamma, x_1 : \tau_1, x_2 : \tau_1 \text{ list} \vdash M_3 : \tau_2}{\Gamma \vdash \text{case } M_1 \text{ of } nil \Rightarrow M_2 | x_1 :: x_2 \Rightarrow M_3 : \tau_2}$$

if  $x_1, x_2 \notin \text{dom}(\Gamma)$  and  $x_1 \neq x_2$

$$\frac{\Gamma, x : \tau_1 \vdash M : \tau_2}{\Gamma \vdash \lambda x(M) : \tau_1 \rightarrow \tau_2} \text{ if } x \notin \text{dom}(\Gamma)$$

$$\frac{\Gamma \vdash M_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash M_2 : \tau_1}{\Gamma \vdash M_1 \ M_2 : \tau_2}$$

$$\frac{\Gamma \vdash M_1 : \tau \quad \Gamma, x : \forall A(\tau) \vdash M_2 : \tau'}{\Gamma \vdash \text{let } x = M_1 \text{ in } M_2 : \tau'} \text{ if } x \notin \text{dom}(\Gamma) \text{ and } A = \text{ftv}(\tau) - \text{ftv}(\Gamma)$$

We write  $\Gamma \vdash M : \sigma$  if  $A = \text{ftv}(\tau) - \text{ftv}(\Gamma)$ ,  $\sigma = \forall A(\tau)$  and  $\Gamma \vdash M : \tau$  is derivable

A closed type scheme  $\forall A(\tau)$  is the principal type scheme of a closed expression  $M$  if:

- $\vdash M : \forall A(\tau)$
- For any other closed type scheme  $\forall A'(\tau')$ , if  $\vdash M : \forall A'(\tau')$  then  $\forall A(\tau) \succ \tau'$

## Type Inference

There is an algorithm  $mgu$  which determines whether two types  $\tau_1$  and  $\tau_2$  are unifiable and its unifying substitution  $S$  so that:

- $S(\tau_1) = S(\tau_2)$
- For all  $S' \in \text{Sub}$ , if  $S'(\tau_1) = S'(\tau_2)$  then  $S' = TS$  for some  $T \in \text{Sub}$

Principal solutions to typing problems  $\Gamma \vdash M : ?$  are pairs  $(S, \sigma)$  such that:

- $S\Gamma \vdash M : \sigma$
- For all  $(S', \sigma')$ , if  $S'\Gamma \vdash M : \sigma'$  then there is some  $T \in \text{Sub}$  so that  $TS = S'$  and  $T(\sigma) \succ \sigma'$

An algorithm exists to determine the principal type of a given expression. Some clauses are:

- Function abstraction  $pt(\Gamma \vdash \lambda x(M) : ?)$ 
  - let  $\alpha = \text{fresh}$  in
  - let  $(S, \tau) = pt(\Gamma, x : \alpha \vdash M : ?)$  in  $(S, S(\alpha) \rightarrow \tau)$
- Function application  $pt(\Gamma \vdash M_1 M_2 : ?)$ 
  - let  $(S_1, \tau_1) = pt(\Gamma \vdash M_1 : ?)$  in
  - let  $(S_2, \tau_2) = pt(S_1 \Gamma \vdash M_2 : ?)$  in
  - let  $\alpha = \text{fresh}$  in
  - let  $S_3 = mgu(S_2 \tau_1, \tau_2 \rightarrow \alpha)$  in  $(S_3 S_2 S_1, S_3(\alpha))$
- Conditionals  $pt(\Gamma \vdash \text{if } M_1 \text{ then } M_2 \text{ else } M_3 : ?)$ 
  - let  $(S_1, \tau_1) = pt(\Gamma \vdash M_1 : ?)$  in
  - let  $S_2 = mgu(\tau_1, \text{bool})$  in
  - let  $(S_3, \tau_3) = pt(S_2 S_1 \Gamma \vdash M_2 : ?)$  in
  - let  $(S_4, \tau_4) = pt(S_3 S_2 S_1 \Gamma \vdash M_3 : ?)$  in
  - let  $S_5 = mgu(S_4 \tau_3, \tau_4)$  in  $(S_5 S_4 S_3 S_2 S_1, S_5 \tau_4)$

## Midi-ML

### Expressions

$$M ::= \dots | () | ref M | !M | M := M$$

### Types

$$\tau ::= \dots | unit | \tau \text{ ref}$$

## Rules

$$\Gamma \vdash () : unit$$

$$\frac{\Gamma \vdash M : \tau}{\Gamma \vdash ref\ M : \tau\ ref}$$

$$\frac{\Gamma \vdash M : \tau\ ref}{\Gamma \vdash !M : \tau}$$

$$\frac{\Gamma \vdash M_1 : \tau\ ref \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1 := M_2 : unit}$$

Unfortunately this is type unsound. To restore type soundness we must modify the let rule:

$$\frac{\Gamma \vdash M_1 : \tau_1 \quad \Gamma, x : \forall A(\tau_1) \vdash M_2 : \tau_2}{\Gamma \vdash let\ x = M_1\ in\ M_2 : \tau_2} \text{ if } x \notin \text{dom}(\Gamma) \text{ and } A = \begin{cases} \{\} & \text{if } M_1 \text{ is not a value} \\ ftv(\tau_1) - ftv(\Gamma) & \text{otherwise} \end{cases}$$

This effectively forces references to be monomorphic, since references are not considered to be values.

## Polymorphic Midi-ML

### Types

$$\pi ::= \alpha | \text{bool} | \pi \rightarrow \pi | \pi\ list | \forall \alpha(\pi)$$

Now environments  $\Gamma$  are finite functions from variables to  $\pi$  types.

### Rules

We replace the original variable typing rule with the following three in this language:

$$\Gamma \vdash x : \pi \text{ if } (x : \pi) \in \Gamma$$

$$\frac{\Gamma \vdash M : \pi}{\Gamma \vdash M : \forall \alpha(\pi) \text{ if } \alpha \notin ftv(\Gamma)}$$

$$\frac{\Gamma \vdash M : \forall \alpha(\pi)}{\Gamma \vdash M : \pi[\pi'/\alpha]}$$

In this system, the type checking and typeability problems are equivalent and undecidable.

## Polymorphic Lambda Calculus

### Expressions

$$M ::= x | \lambda x : \tau(M) | M\ M | \Lambda \alpha(M) | M\ \tau$$

We now have beta-reduction on types:  $(\Lambda \alpha(M))\tau \rightarrow M[\tau/\alpha]$

## Types

$$\tau ::= \alpha | \tau \rightarrow \tau | \forall \alpha(\tau)$$

Now environments  $\Gamma$  are finite functions from variables to PLC  $\tau$  types.

### Rules

$$\Gamma \vdash x : \tau \text{ if } (x : \tau) \in \Gamma$$

$$\frac{\Gamma, x : \tau_1 \vdash M : \tau_2}{\Gamma \vdash \lambda x : \tau_1(M) : \tau_1 \rightarrow \tau_2} \text{ if } x \in \text{dom}(\Gamma)$$

$$\frac{\Gamma \vdash M_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash M_2 : \tau_1}{\Gamma \vdash M_1\ M_2 : \tau_2}$$

$$\frac{\Gamma \vdash M : \tau}{\Gamma \vdash \Lambda \alpha(M) : \forall \alpha(\tau)} \text{ if } \alpha \notin ftv(\Gamma) \text{ (important!)}$$

$$\frac{\Gamma \vdash M : \forall \alpha(\tau_1)}{\Gamma \vdash M : \tau_2 : \tau_1[\tau_2/\alpha]}$$

Now, for every PLC typing problem  $\Gamma \vdash M : ?$  there is at most one PLC type  $\tau$  for which  $\Gamma \vdash M : \tau$  is provable. An algorithm exists to determine this type, some clauses of which are:

- Function abstraction  $typ(\Gamma \vdash \lambda x : \tau_1(M) : ?)$ 
  - $let\ \tau_2 = typ(\Gamma, x : \tau_1 \vdash M : ?) \text{ in } \tau_1 \rightarrow \tau_2$
- Type generalization  $typ(\Gamma \vdash \Lambda \alpha(M) : ?)$ 
  - $let\ \tau = typ(\Gamma \vdash M : ?) \text{ in } \forall \alpha(\tau)$
- Type specialisation  $typ(\Gamma \vdash M : \tau_2 : ?)$ 
  - $let\ \tau = typ(\Gamma \vdash M : ?) \text{ in }$
  - $case\ \tau\ of\ \forall \alpha(\tau_1) \rightarrow \tau_1[\tau_2/\alpha] \rightarrow FAIL$

### Properties

Say  $M \rightarrow M'$  if  $M$  beta-reduces to  $M'$  in one step up to alpha-conversion. Call  $\rightarrow *$  the transitive reflexive closure of this.  $M$  is in *beta-normal* form if it contains no redexes.

If  $\Gamma \vdash M : \tau$  then:

- Subject reduction: if  $M \rightarrow M'$  then  $\Gamma \vdash M' : \tau$
- Church-Rosser: if  $M \rightarrow *M_1$  and  $M \rightarrow *M_2$  then there is  $M'$  with  $M_1 \rightarrow *M'$  and  $M_2 \rightarrow *M'$
- Strong normalization: there is no infinite chain of beta-reductions starting from  $M$
- Hence we can test equality of any terms  $M, M'$

## Datatypes

### Booleans

$$\text{bool} \triangleq \forall \alpha (\alpha \rightarrow (\alpha \rightarrow \alpha))$$

$$\text{True} \triangleq \Lambda \alpha (\lambda x_1 : \alpha, x_2 : \alpha (x_1))$$

$$\text{False} \triangleq \Lambda \alpha (\lambda x_1 : \alpha, x_2 : \alpha (x_2))$$

$$\text{if} \triangleq \Lambda \alpha (\lambda b : \text{bool}, x_1 : \alpha, x_2 : \alpha (b \alpha x_1 x_2))$$

### Lists

$$\alpha \text{list} \triangleq \forall \alpha' (\alpha' \rightarrow (\alpha \rightarrow \alpha' \rightarrow \alpha') \rightarrow \alpha')$$

$$\text{Nil} \triangleq \Lambda \alpha, \alpha' (\lambda x' : \alpha', f : \alpha \rightarrow \alpha' \rightarrow \alpha' (x'))$$

$$\text{Cons} \triangleq \Lambda \alpha (\lambda x : \alpha, l : \alpha \text{list} (\Lambda \alpha' (\lambda x' : \alpha', f : \alpha \rightarrow \alpha' \rightarrow \alpha' (f x (l \alpha' x' f)))))$$

$$\text{iter} \triangleq \Lambda \alpha, \alpha' (\lambda x' : \alpha', f : \alpha \rightarrow \alpha' \rightarrow \alpha' (\lambda l : \alpha \text{list} (l \alpha' x' f)))$$

## Curry-Howard

<i>Logic</i>	$\leftrightarrow$	<i>Type system</i>
propositions, $\phi$	$\leftrightarrow$	types, $\tau$
constructive proofs, $p$	$\leftrightarrow$	expressions, $M$
" $p$ is a proof of $\phi$ "	$\leftrightarrow$	" $M$ is an expression of type $\tau$ "
simplification of proofs	$\leftrightarrow$	reduction of expressions

## Dependent Types

$$\frac{\Gamma, x : \sigma \vdash M : \sigma'(x)}{\Gamma \vdash \lambda(x : \sigma)(M) : \Pi(x : \sigma)(\sigma'(x))}$$

$$\frac{\Gamma \vdash M : \Pi(x : \sigma)(\sigma'(x)) \quad \Gamma \vdash M' : \sigma}{\Gamma \vdash M \ M' : \sigma'(M')}$$